



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

RECEIPT  
\$  
#4

APPLICANT(S): Karlheinz DORN, et al. DOCKET NO: P97,1389-02  
SERIAL NO: 09/881,492 GROUP NO: 2152  
DATE FILED: June 14, 2001 EXAMINER:  
INVENTION: **MEDICAL SYSTEM ARCHITECTURE BASED ON MICROSOFT  
OLE/OCX AND AUTOMATION OR, RESPECTIVELY, ATOMIC**

Assistant Commissioner for Patents,  
Washington, D.C. 20231

**REQUEST FOR CORRECTED FILING RECEIPT**

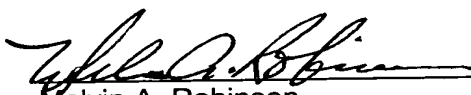
Sir:

Applicants have received a Filing Receipt dated August 14, 2001, which shows the filing date of the parent application Serial No. 08/883,303 as June 28, 1997. This date is in error as the actual filing date of the parent application is June 27, 1997. Correction of the filing receipt, and the PTO records, is hereby requested. Enclosed is a copy of the erroneous Filing Receipt for the present application, a copy of the postcard receipt for the parent application stamped by the mail room of the PTO when the application was hand-carried to the patent office showing a filing date of June 27, 1997, a second postcard returned to Applicants by mail showing a filing date of June 26, 1997, and a Request for Corrected Filing Receipt filed on December 4, 1997, in the parent application.

Correction of the Patent Office records is hereby requested.

Consideration of the Request and correction of the records in the parent application is also requested.

Respectfully submitted,

 (Reg. No. 31,870)  
Melvin A. Robinson  
SCHIFF HARDIN & WAITE  
Patent Department  
6600 Sears Tower  
233 South Wacker Drive  
Chicago, Illinois 60606-6473  
(312) 258-5785  
Customer Number 26574

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OFFICE OF PETITIONS

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D C 20231 on August 23, 2001

  
Melvin A. Robinson - Attorney for Applicants



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: KARLHEINZ DORN ET AL. DOCKET NO: P97,1389  
SERIAL NO: 08/883,303 GROUP NO.: 2775  
FILED: JUNE 27, 1997  
TITLE: "MEDICAL SYSTEM ARCHITECTURE BASED ON MICROSOFT  
OLE/OCX AND AUTOMATION OR, RESPECTIVELY, ATOMIC"

HON. ASSISTANT COMMISSIONER FOR PATENTS,  
WASHINGTON, D.C. 20231

REQUEST FOR CORRECTED FILING RECEIPT

- 1.a) Attached is a copy of the official filing receipt received from the PTO in the above application for which issuance of a corrected filing receipt is respectfully requested.
  - b) Attached is a copy of the stamped postcard receipt showing the correct filing date.
2. There is an error in that the following data is:
- ☒ incorrectly entered
  - and/or
  - ☐ omitted
- ☐ Inventor
  - ☐ Applicant's address
  - ☐ Title
  - ☒ Filing Date
  - ☐ Serial Number
  - ☐ Foreign/PCT Application Reference
  - ☒ Number of claims
  - ☐ Attorney Docket Number

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in that the filing receipt should read as follows:

FILED: June 27, 1997

TOTAL CLAIMS: (12)

Attorney Docket No. P97,1389

(Request for Corrected Filing Receipt [5-8]--page 1 of 2)

3. (complete the following applicable item A or B)

A. ☒ The correction is not due to any error by applicant  
and no fee is due.

OR

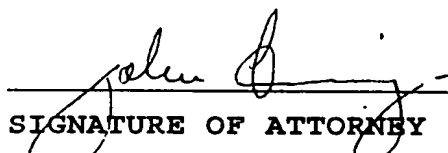
B. ☐ The correction is due to applicant's error and the fee  
therefor under 37 CFR 1/19(h) of \$25.00 is paid as follows:

☐ enclosed is a check for \$25.00

☐ The Commissioner is hereby authorized to charge any  
additional fees which may be required to Account No.  
08-2290.

Reg. No.: (19,842)

Tel. No.: (312) 876-0200

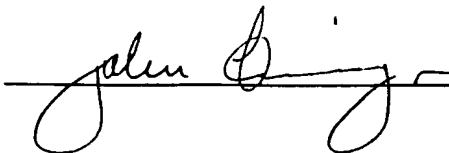
  
\_\_\_\_\_  
SIGNATURE OF ATTORNEY  
JOHN D. SIMPSON

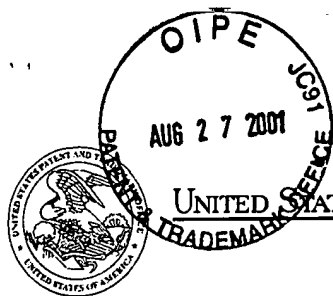
Type or print name of attorney  
233 South Wacker Drive  
Sears Tower, 85th Floor  
Chicago, IL 60606

**CERTIFICATE OF MAILING (37 CFR 1.8a)**

I hereby certify that this paper (along with any paper referred to as  
being attached or enclosed) is being deposited with the United States Postal  
Service on the date shown below with sufficient postage as first class mail  
in an envelope addressed to the: Assistant Commissioner for Patents,  
Washington, D.C. 20231.

Date: December 4, 1997

  
\_\_\_\_\_



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/881,492	06/14/2001	Karlheinz Dorn	P97,1389 02

CONFIRMATION NO. 7912

26574  
SCHIFF HARDIN & WAITE  
6600 SEARS TOWER  
233 S WACKER DR  
CHICAGO, IL 60606-6473

## FORMALITIES LETTER



\*OC000000006420596\*

Date Mailed: 08/14/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

08/29/2001 09:00:00 09881492  
01 FC:105  
130.00 00



BOX: MISSING PARTS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S): Karlheinz DORN, et al. DOCKET NO: P97,1389-02  
SERIAL NO: 09/881,492 GROUP NO: 2152  
DATE FILED: June 14, 2001 EXAMINER:  
INVENTION: **MEDICAL SYSTEM ARCHITECTURE BASED ON MICROSOFT  
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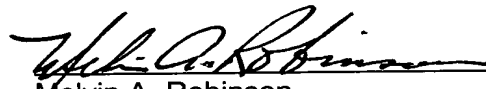
Assistant Commissioner for Patents,  
Washington, D.C. 20231

**SUBMITTAL OF SIGNED DECLARATION**

Sir:

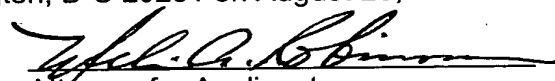
In response to the Notice to File Missing Parts dated August 14, 2001, Applicants enclose herewith the signed Declaration and Power of Attorney form attached to the specification for the above-identified application. Also enclosed is a copy of the Notice and a check in the amount of \$130.00 for the surcharge. Applicants submit that the filing requirements are now complete.

Respectfully submitted,

 (Reg. No. 31,870)  
Melvin A. Robinson  
SCHIFF HARDIN & WAITE  
Patent Department  
6600 Sears Tower  
233 South Wacker Drive  
Chicago, Illinois 60606-6473  
(312) 258-5785  
Attorneys for Applicant  
**CUSTOMER NUMBER 26574**

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope address to: Assistant Commissioner of Patents and Trademarks, Washington, D C 20231 on August 23, 2001

  
Attorney for Applicants

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OFFICE OF PETITIONS



HON. COMMISSIONER OF PATENTS & TRADEMARKS  
WASHINGTON, D. C. 20231

SIR:

PLEASE APPLY A RECEIPT STAMP HERETO AND MAIL TO  
ACKNOWLEDGE RECEIPT OF THE ATTACHED: \$770.00 check,  
Spec., 12 claims, abstract, declaration, 6 dwgs.,  
\$40.00 check, a Certified copy of a German  
Dorn et al, Application

APPLICANT	MAIL ROOM JUN 27 1997 39 PAT & TRADEMARK OFF.	TYPE OF DOCUMENT(S)
6/26/97		Our Case No. P97,1389
MAILING DATE		REFERENCE NUMBER
491/899	6/27/96	

HILL, STEADMAN & SIMPSON  
A PROFESSIONAL CORPORATION

Form 14R

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08-12-1997

A/D

FORM PTO-1595

1/31/92



100508652

1E11

U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please Record the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

KARLHEINZ DORN  
DETLEF BECKER  
DIETRICH QUEHL

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

## 2. Name and address of receiving party(ies):

Name: Siemens Aktiengesellschaft

Internal Address: \_\_\_\_\_

Street Address Wittelsbacherplatz 2City 8000 Muenchen, Germany City \_\_\_\_\_ Zip: \_\_\_\_\_Additional Name(s) & Address(es) attached ☐ Yes ☒ No

## 3. Nature of conveyance:

- ☒
- Assignment
- ☐
- Merger
- 
- ☐
- Security Agreement
- ☐
- Change of Name
- 
- ☐
- Other \_\_\_\_\_

Execution Date June 19, 1997

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: June 19, 1997

A. Patent Application No.(s)

B: Patent No.(s)

P97,1389

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

**Hill, Steadman & Simpson, P.C.**85th Floor Sears Tower  
233 S. Wacker Drive  
Chicago, IL 60606

## 6. Total number of applications and patents involved

17. Total Fee (37 CFR 3.41) ..... \$ 40.00 E☒ Enclosed☒ Authorized to be charged to deposit account any  
Additional fees

## 8. Deposit Account Number:

08-2290

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

## 9. Statement and signature:

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Melvin A. Robinson

Name of Person Signing

Signature

June 26, 1997

Date

Total number of pages: 2

Mail documents to be recorded and required cover sheet information to:

Commissioner of Patents and Trademarks  
Box Assignments  
Washington, D.C. 20231

08/12/1997 UNKNOWN 00000139 08883303

40.00 GP

64181 U.S. PTO  
08/06/97  
06/26/97

MAD 6-26-97